

## FORMALITIES LETTER



\*OC00000005066596\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/502,675	02/11/2000	Shunpei Yamazaki	0756-2101

22204  
 NIXON PEABODY, LLP  
 SUITE 800  
 8180 GREENSBORO DRIVE  
 MCLEAN, VA 22102



Date Mailed: 04/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1638.
  - \$1170 for 65 total claims over 20.
  - \$468 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2458.

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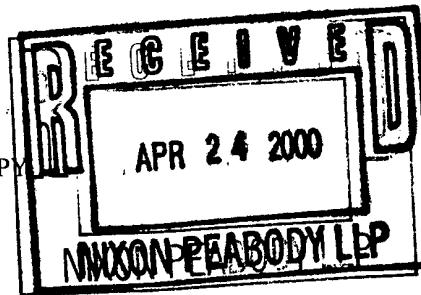
*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 04/20/2000 KZENDIE 00000002 09502675

PART 1 - ATTORNEY/APPLICANT COPY

690.00 DP  
 468.00 DP  
 1170.00 DP  
 130.00 DP

DOCKETED  
 4/24/00 By *SY*  
 Sixbey, Friedman,  
 Leedom & Ferguson



Sect 5  
\$

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of: )  
 Shunpei YAMAZAKI et al. ) Box MISSING PARTS  
 Serial No. 09/502,675 )  
 Filed: February 11, 2000 )  
 For: SEMICONDUCTOR DEVICE AND METHOD  
 OF MANUFACTURING THEREFOR



**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED**

Assistant Commissioner for Patents  
 Box Missing Parts  
 Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated April 21, 2000, submitted herewith are the following documents for filing in the above-referenced application:

1. Copy of Notice to File Missing Parts of Application - Filing Date Granted
2. Declaration & Power of Attorney
3. A. Statutory Basic Filing Fee and Surcharge, calculated as follows:

For:	No. Filed	No. Extra	Rate Sml/Lg. Entity	Fee
Basic Fee			\$345/690	\$ 690.00
Total Claims	85	-20	x \$9/18	\$ 1170.00

(f)(3)

Docket: 0756.2101

For:	No. Filed		No. Extra	Rate Sml/Lg. Entity	Fee
Independent Claims	9	-3	6	x \$39/78	\$ 468.00
First presentation of multiple dependent claims				\$260/130	\$
Surcharge				\$130/65	\$ 130.00
TOTAL					\$ 2458.00

4. A check in the amount of \$2458.00 is attached to cover the basic filing fee and surcharge.

All formal requirements now having been met, it is requested that the Official Filing Receipt be issued.

The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380. A duplicate of this sheet is attached.

Respectfully submitted,

  
Jeffrey L. Costellia  
Registration No. 35,483

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JLC:jk

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